

J1054 U.S. PTO  
11/29/01

Please type a plus sign (+) inside this box → +

Approved for use through 10/31/2002 OMB 0651-0032  
U S Patent and Trademark Office, U S DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 1436/149

First Inventor Qi Xu

Title See 1 in Addendum

Express Mail Label No. EL603031799US

J1054 U.S. PTO  
11/29/0111/29/01  
11/29/01**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

2.  Applicant claims small entity status.  
See 37 CFR 1.27.

3.  Specification [Total Pages 31]  
(preferred arrangement set forth below)
 

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4.  Drawing(s) (35 U.S.C. 113) [ Total Sheets 14 ]

5. Oath or Declaration [ Total Pages 3 ]  
 a.  Newly executed (original or copy)  
 b.  Copy from a prior application (37 CFR 1.63 (d))  
     (for continuation/divisional with Box 18 completed)
 

i.  **DELETION OF INVENTOR(S)**  
     Signed statement attached deleting inventor(s)  
     named in the prior application, see 37 CFR  
     1.63(d)(2) and 1.33(b)

6.  Application Data Sheet. See 37 CFR 176

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 

- a.  Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
  - i.  CD-ROM or CD-R (2 copies); or
  - ii.  paper
- c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))  
37 CFR 3.73(b) Statement  Power of Attorney  
10.  (when there is an assignee)  Attorney

11.  English Translation Document (if applicable)  
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS  
13.  Preliminary Amendment  
14.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)  
15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)  
16.  Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.  
17.  Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation     Divisional     Continuation-in-part (CIP)    of prior application No. /

Prior application information

Examiner \_\_\_\_\_

Group Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**19. CORRESPONDENCE ADDRESS**

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Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	
Name (Print/Type)	John J. Stickevers		Registration No. (Attorney/Agent) 39,387
Signature			Date November 29, 2000

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# FEE TRANSMITTAL

## for FY 2000

Patent fees are subject to annual revision.

*Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12  
See 37 CFR. §§ 1.27 and 1.28.*

TOTAL AMOUNT OF PAYMENT (\$ 815.00

Complete if Known	
Application Number	
Filing Date	November 29, 2001
First Named Inventor	Qi Xu
Examiner Name	
Group / Art Unit	
Attorney Docket No.	1436/149

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																																					
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number <b>19-4972</b>		<b>3. ADDITIONAL FEES</b> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205 Surcharge - late filing fee or oath</td><td>0.00</td></tr> <tr><td>127</td><td>50</td><td>227 Surcharge - late provisional filing fee or cover sheet</td><td>0.00</td></tr> <tr><td>139</td><td>130</td><td>139 Non-English specification</td><td>0.00</td></tr> <tr><td>147</td><td>2,520</td><td>147 2,520 For filing a request for reexamination</td><td>0.00</td></tr> <tr><td>112</td><td>920*</td><td>112 920* Requesting publication of SIR prior to Examiner action</td><td>0.00</td></tr> <tr><td>113</td><td>1,840*</td><td>113 1,840* Requesting publication of SIR after Examiner action</td><td>0.00</td></tr> <tr><td>115</td><td>110</td><td>215 Extension for reply within first month</td><td>0.00</td></tr> <tr><td>116</td><td>380</td><td>216 Extension for reply within second month</td><td>0.00</td></tr> <tr><td>117</td><td>870</td><td>217 Extension for reply within third month</td><td>0.00</td></tr> <tr><td>118</td><td>1,360</td><td>218 Extension for reply within fourth month</td><td>0.00</td></tr> <tr><td>128</td><td>1,850</td><td>228 Extension for reply within fifth month</td><td>0.00</td></tr> <tr><td>119</td><td>300</td><td>219 Notice of Appeal</td><td>0.00</td></tr> <tr><td>120</td><td>300</td><td>220 Filing a brief in support of an appeal</td><td>0.00</td></tr> <tr><td>121</td><td>260</td><td>221 Request for oral hearing</td><td>0.00</td></tr> <tr><td>138</td><td>1,510</td><td>138 1,510 Petition to institute a public use proceeding</td><td>0.00</td></tr> <tr><td>140</td><td>110</td><td>240 Petition to revive - unavoidable</td><td>0.00</td></tr> <tr><td>141</td><td>1,210</td><td>241 Petition to revive - unintentional</td><td>0.00</td></tr> <tr><td>142</td><td>1,210</td><td>242 Utility issue fee (or reissue)</td><td>0.00</td></tr> <tr><td>143</td><td>430</td><td>243 Design issue fee</td><td>0.00</td></tr> <tr><td>144</td><td>580</td><td>244 Plant issue fee</td><td>0.00</td></tr> <tr><td>122</td><td>130</td><td>122 Petitions to the Commissioner</td><td>0.00</td></tr> <tr><td>123</td><td>50</td><td>123 Petitions related to provisional applications</td><td>0.00</td></tr> <tr><td>126</td><td>240</td><td>126 Submission of Information Disclosure Stmt</td><td>0.00</td></tr> <tr><td>581</td><td>40</td><td>581 Recording each patent assignment per property (times number of properties)</td><td>40.00</td></tr> <tr><td>146</td><td>690</td><td>246 Filing a submission after final rejection (37 CFR § 1.129(a))</td><td>0.00</td></tr> <tr><td>149</td><td>690</td><td>249 For each additional invention to be examined (37 CFR § 1.129(b))</td><td>0.00</td></tr> <tr><td colspan="4">Other fee (specify) _____</td></tr> <tr><td colspan="4">Other fee (specify) _____</td></tr> <tr> <td colspan="4">SUBTOTAL (1) (\$ 370.00)</td> <td colspan="2">SUBTOTAL (3) (\$ 40.00)</td> </tr> <tr> <td colspan="4">Reduced by Basic Filing Fee Paid</td> <td colspan="2"></td> </tr> </tbody> </table>						Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105	130	205 Surcharge - late filing fee or oath	0.00	127	50	227 Surcharge - late provisional filing fee or cover sheet	0.00	139	130	139 Non-English specification	0.00	147	2,520	147 2,520 For filing a request for reexamination	0.00	112	920*	112 920* Requesting publication of SIR prior to Examiner action	0.00	113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	0.00	115	110	215 Extension for reply within first month	0.00	116	380	216 Extension for reply within second month	0.00	117	870	217 Extension for reply within third month	0.00	118	1,360	218 Extension for reply within fourth month	0.00	128	1,850	228 Extension for reply within fifth month	0.00	119	300	219 Notice of Appeal	0.00	120	300	220 Filing a brief in support of an appeal	0.00	121	260	221 Request for oral hearing	0.00	138	1,510	138 1,510 Petition to institute a public use proceeding	0.00	140	110	240 Petition to revive - unavoidable	0.00	141	1,210	241 Petition to revive - unintentional	0.00	142	1,210	242 Utility issue fee (or reissue)	0.00	143	430	243 Design issue fee	0.00	144	580	244 Plant issue fee	0.00	122	130	122 Petitions to the Commissioner	0.00	123	50	123 Petitions related to provisional applications	0.00	126	240	126 Submission of Information Disclosure Stmt	0.00	581	40	581 Recording each patent assignment per property (times number of properties)	40.00	146	690	246 Filing a submission after final rejection (37 CFR § 1.129(a))	0.00	149	690	249 For each additional invention to be examined (37 CFR § 1.129(b))	0.00	Other fee (specify) _____				Other fee (specify) _____				SUBTOTAL (1) (\$ 370.00)				SUBTOTAL (3) (\$ 40.00)		Reduced by Basic Filing Fee Paid					
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SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	John J. Stickevers	Registration No. (Attorney/Agent)	39,387	Telephone 617-443-9292
Signature	<i>John J. Stickevers</i>			Date 11/27/2001

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## Addendum

### 1. System and Method of Hyperlink Navigation Between Frames

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Xu et al.

Application No.:

Filed: 11/29/2001

For: System and Method of Hyperlink Navigation Between Frames

Group No.:

Examiner:

**Box Patent Application**  
**U.S. Patent and Trademark Office**  
**P.O. Box 2327**  
**Arlington, VA 22202**

**EXPRESS MAIL CERTIFICATE**

"Express Mail" label number EL603031799US

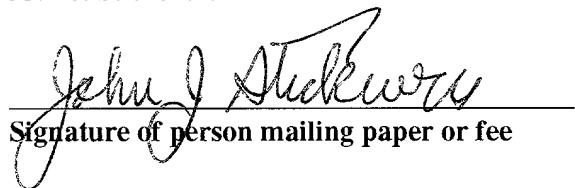
Date of Deposit 11/29/2001

I hereby state that the following *attached* paper or fee

Utility Patent Application Transmittal and enclosures referred to therein

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John J. Stickevers

  
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Signature of person mailing paper or fee